Issue Areas for Social Risk and Opportunities Analysis and Social Impact Assessment

**Poverty Reduction and Social Sustainability**
- Poverty Reduction
- Enhancing Livelihoods of the Poor
- Supporting Borrower Governance
- Inclusion and Substantive Equality
- Non-Discrimination

**Labor, Employment, and Working Conditions**
- Employment Generation
- Freedom of Association
- Forced Labor
- Child Labor
- Non-discrimination
- Wage and Hour
- Holidays and Leave
- Occupational Health and Safety
- Workplace conditions
- Suppliers/Contractors

**Social Services**
- Education
- Housing
- Social Security
- Healthcare
- Water
- Sanitation

**Environment and Public Health**
- Public Health
- Food
- Water
- Sanitation
- Pollution
- Biodiversity
- Climate change
- Livelihoods

**Security**
- Conflict
- Excessive Use of Force and Arrest and Detention
- Reprisals
- Private Security Forces
- Freedom of Movement
- Informal Armed Groups

**Community Safety**
- Life and Fire Safety
- Traffic Safety
- Hazardous Materials
- Disaster and Emergency Preparedness

**Land, Land Acquisition and Displacement**
- Land Rights
- Land Access
- Property Rights
- Forced Eviction
- Economic and Physical Displacement

**Indigenous Peoples**
- General
- Voluntary Isolation
- Self-determination and Right to Development
- Non-discrimination
- Lands, Territories, and Resources
- Participation
- Cultural Rights

**Culture**
- Culture
- Sacred Sites
- Cultural Heritage

**Participation/Consultation**
- Consultation
- Access to Information/Disclosure
- Grievance/Redress
- Participation
- Privacy
- Freedom of Expression
- Freedom of Assembly
Analysis Flow for Social Risk and Opportunities Analysis and Social Impact Assessment

1. **Issue**
   - Standard
   - Risk Indicator Questions
   - Risk Description/Response
   - Description of Potential Impact and on Whom
   - Will impacts fall disproportionately on different groups?

2. **Can adverse impact be AVOIDED with alternatives, including “no project” alternative?**
   - Proposed alternative(s)
   - Resources required for alternative
   - Alternatives assessment [Apropriateness/ Sufficiency, Feasibility/ Capacity]
   - Residual Impact after Avoidance Alternative
   - Significance of Impact (Scale and Irremediability)

3. **If impact cannot be avoided, can it be MINIMIZED?**
   - Proposed Minimization Measure
   - Resources Required
   - Minimization Assessment [Apropriateness/ Sufficiency, Feasibility/ Capacity]
   - Residual Impact after Minimization
   - Significance of Impact (Scale and Irremediability)

4. **If impact can’t be minimized, can rightsholder be RESTITUTED?**
   - Proposed Restitution measure
   - Resources required
   - Restitution assessment [Apropriateness/ Sufficiency/ Feasibility/ Capacity]
   - Residual impact after Restitution
   - Significance of Residual Impact [Scale and Irremediability]

5. **If cannot be restored, can rightsholder be REMEDIED?**
   - Proposed Remediation measure
   - Resources required
   - Remediation assessment [Apropriateness/ Sufficiency/ Feasibility/ Capacity]
   - Final Residual impact
   - Significance of Residual Impact (Scale and Irremediability)

6. **Probability (likelihood of impact minus likelihood of successful mitigation)?**
   - Mitigation Prioritization and Timeline
   - Impact Indicators
   - Borrower Supervision/ Monitoring Required
   - Bank Supervision/ Monitoring Required
<table>
<thead>
<tr>
<th>Issue</th>
<th>Standard</th>
<th>Risk Indicator Questions and Resources</th>
<th>Risk Description/Response</th>
<th>Description of Potential Impact and on Whom</th>
<th>Will Impacts fall disproportionately on different groups?</th>
<th>Can adverse impact be avoided with alternatives, including &quot;no project&quot; alternative [Yes/No]</th>
<th>Proposed alternative(s)</th>
<th>Resources required for alternative</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Environment and Health</strong></td>
<td>Project or program should advance the right to the highest attainable standard of health. No activity may infringe on the right to the highest attainable standard of health. Key aspects of the right to health include the following: Availability (functioning public health and health-care facilities, goods and services must be available in sufficient quantity within a State), Accessibility (the facilities, goods, services, and information must be accessible physically as well as financially and on the basis of non-discrimination), Acceptability (the facilities, goods and services should also respect medical ethics, and be gender-sensitive and culturally appropriate), Good quality (they must be scientifically and medically appropriate and of good quality), Non-discrimination (health services, facilities and goods must be accessible to all without discrimination on any grounds), Participation (the beneficiaries of health care services, facilities and goods should have a voice in the design and implementation of health policies which affect them), Accountability (Duty bearers should be held accountable for meeting human rights obligations in the area of public health, including through the possibility of seeking effective remedies for breaches such as, for example, the denial of treatment), and Underlying determinants of health (such as adequate sanitation and safe drinking water and adequate housing).</td>
<td>Will the project involve or affect access to health services or facilities?</td>
<td>The project causes, contributes to or exacerbates adverse effects on community health.</td>
<td>Children?</td>
<td>No project:</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Prisoners?</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Elderly?</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Women?</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Health</strong></td>
<td>What is the level of enjoyment of the right to health in the project area?</td>
<td>Are there significant public health problems in the project area that could be exacerbated?</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Does the project involve use or presence of substances or practices that pose risk of adverse effects on public health or safety?</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>What is the distance between the project area and the closest community, water resources, and agricultural lands?</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Does activity involve significant migration that might affect alcohol use, prostitution?</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
### Non-Discrimination

The Bank and borrower shall take necessary measures to avoid discrimination within Bank-financed activities. Discrimination includes any distinction, exclusion or preference made on the basis of an illegitimate distinguishing personal characteristic. A non-exhaustive list of the grounds for discrimination recognized and prohibited under international law includes: race, color, sex, language, religion, political or other opinion, national or ethnic origin or descent, including caste, poverty, birth, family or marital status, disability, nationality, age, economic position, geographical residence, HIV status, sexual orientation and gender identity. Discrimination can be direct or indirect. Direct discrimination occurs when an activity is aimed at benefiting or excluding a particular group because of a distinguishing personal characteristic. Indirect discrimination occurs when the practical application of a policy, procedure or practice negatively impacts a group of people—even if the policies, procedures or practices appear neutral.

<table>
<thead>
<tr>
<th>Issue</th>
<th>Standard</th>
<th>Risk Indicator Questions and Resources</th>
<th>Risk Description/Response</th>
<th>Description of Potential Impact and on Whom</th>
<th>Will impacts fall disproportionately on different groups?</th>
<th>Can adverse impact be avoided with alternatives, including &quot;no project&quot; alternative [Yes/No]?</th>
<th>Pralter</th>
</tr>
</thead>
<tbody>
<tr>
<td>The project causes, contributes to, or exacerbates adverse discrimination, exclusion or preference made on the basis of gender, age, nationality, ethnicity, race, color, creed, caste, language, mental or physical disability, organizational membership, opinion, health status (including HIV or AIDS status), sexual orientation, marital status, birth, or civic, social, or political characteristics.</td>
<td>Women?</td>
<td></td>
<td></td>
<td></td>
<td>No possible alternative alternatives</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Issue</td>
<td>Standard</td>
<td>Risk Indicator Questions and Resources</td>
<td>Risk Description/Response</td>
<td>Description of Potential Impact and on Whom</td>
<td>Will Impacts fall disproportionately on different groups?</td>
<td>Can adverse impact be avoided with alternatives, including &quot;no project&quot; alternative [Yes/No]?</td>
<td>Pro altern</td>
</tr>
<tr>
<td>-------</td>
<td>----------</td>
<td>----------------------------------------</td>
<td>---------------------------</td>
<td>---------------------------------------------</td>
<td>-------------------------------------------------------</td>
<td>---------------------------------------------------------------------------------</td>
<td>-----------</td>
</tr>
<tr>
<td>Cultural Practices</td>
<td>Bank and Borrower shall take all measures necessary to ensure that cultural rights are protected within Bank-financed activities. Cultural rights include the right to participate in cultural life, to act freely, to choose one's own cultural and religious practices and to express oneself in the language of one's choice. Cultural rights also include the right to learn about one's culture and that of others, and to receive quality education with due regard for cultural identity.</td>
<td>Can the community and women and other groups freely participate in cultural life?</td>
<td>The project causes, contributes to or exacerbates interference in, or restriction of, the exercise of cultural rights.</td>
<td>Ethnic minorities?</td>
<td>Persons with disabilities?</td>
<td>Women?</td>
<td>Alterna</td>
</tr>
<tr>
<td><strong>Issue</strong></td>
<td><strong>Standard</strong></td>
<td><strong>Risk Indicator Questions and Resources</strong></td>
<td><strong>Risk Description/Response</strong></td>
<td><strong>Description of Potential Impact and on Whom</strong></td>
<td><strong>Will impacts fall disproportionately on different groups?</strong></td>
<td><strong>Can adverse impact be avoided with alternatives, including &quot;no project&quot; alternative [Yes/No]?</strong></td>
<td><strong>Pro altern</strong></td>
</tr>
<tr>
<td>------------</td>
<td>--------------</td>
<td>------------------------------------------</td>
<td>-----------------------------</td>
<td>-----------------------------------------------</td>
<td>----------------------------------------------------------</td>
<td>---------------------------------------------------------------</td>
<td>-------------</td>
</tr>
<tr>
<td><strong>Conflict</strong></td>
<td></td>
<td>Has the country and/or sub-national distinct experienced conflict within the past 5 years? Conflict can be defined as (1) violence between population groups, (2) violence committed by the state against civilians or opposition groups, or (3) violence committed by non-state militias against civilians. Have local communities or civil society raised concerns about the project? Is the project likely to involve displacement or significant migration in/out of the project area?</td>
<td>Is there currently or a recent history of conflict in the area?</td>
<td>Project causes, contributes to or exacerbates conflict.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Security</strong></td>
<td></td>
<td>Bank and Borrower shall take all necessary measures to ensure that Bank-financed activities do not cause, contribute to, or exacerbate conflict.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>