



## SOCIAL IMPACT ASSESSMENT

Conducted by Borrower according to TOR, guidance and review by TTL and Social Specialist, participation of affected communities.

### Scope requirements

- Temporal, thematic, operational and spatial scope of social assessment shall reflect all relevant characteristics of the project/program and its anticipated adverse social impacts. Scoping process, informed by consultations with potentially affected communities, will identify issues to prioritize in assessment.
- Study Area is entire area of influence.

### Content requirements

- Project/program, purpose/development goal.
- Relevant legal and regulatory framework and gap analysis vis a vis international law.
- Stakeholder and disaggregated rights-holder identification and analysis.
- Record of initial consultations, input received and how incorporated.
- Relevant socioeconomic history and context, including discrimination and conflict analysis.
- Baseline assessment, including level of rights-enjoyment of affected population, disaggregated.
- Alternatives analysis.
- Anticipated social benefits, including poverty reduction and contribution to shared prosperity, and their distribution vis a vis marginalized groups.
- Social risks, including human rights risks, and their distribution vis a vis marginalized individuals and groups.
- Justification of how the chosen project/program design produces the least adverse social impacts and most positive social impacts among alternatives.
- Borrower capacity for addressing relevant social issues.
- Assessment of proposed mitigation measures and how they will avoid/minimize adverse impacts.
- Identification of Impact Indicators.
- Monitoring and Supervision measures for Borrower and Bank and corresponding timeline.
- Ongoing consultation and engagement plan.
- Participative monitoring plan.
- Accountability measures, including grievance mechanisms.

### Process requirements

- Meaningful and continuous participation of affected community.
- Capacity building, independent legal/expert advice for affected community.
- Access to information in understandable language/format on project/program, partners, location, alternatives, risks, benefits and their likelihood, relevant rights under national and international law.
- Timeline allows for full and effective participation.
- Identifies and incorporates views of project-affected communities and marginalized individuals and groups.
- Complemented by specific requirements of Indigenous Peoples and Involuntary Resettlement policies.
- Includes continual monitoring of impacts and Broad Community Support or FPIC.

## COMMITMENT PLAN

- Project/program design, implementation measures, and timeline
- Mitigation measures and timeline
- Impact indicators
- Budget
- Supervision and participatory monitoring plan
- Citizen engagement and benefit-sharing plans
- Remedies and compliance measures

## SOCIAL ELIGIBILITY REQUIREMENTS reviewed by Bank quality control bodies:

- Project has FPIC of Indigenous Peoples or Broad Community Support of other affected communities
- SIA meets Bank quality and process requirements, and has been confirmed by affected community
- Project/program does not pose Unacceptable Risk
- Adverse social impacts would be addressed by foreseen avoidance/mitigation measures
- Project/program has shown it will contribute to poverty reduction and shared prosperity

If **YES**, Project/  
program goes  
forward.

If **NO**, Project/  
program does  
not go  
forward.

## IMPLEMENTATION/MONITORING

- SIA updated by Borrower, reviewed by Bank
- Participatory monitoring w/ communities
- 3<sup>rd</sup>-party monitoring for High/Subst Risk projects
- Evaluation and disbursement tied to Commitment Plan and impact indicators

## TRANSPARENCY

- Social Risk Analysis, Categorization, Impact Assessment, and Commitment Plan disclosed to public and affected communities prior to project approval.
- Monitoring, Midterm and Completion reports disclosed to public and affected communities.